

Recd. JUN 2005

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference MXG/P33159	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. PCT/EP 03/14556	International filing date (day/month/year) 18/12/2003	(Earliest) Priority Date (day/month/year) 20/12/2002
Applicant  GLAXO GROUP LIMITED		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 6 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

**1. Basis of the report**

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing:

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☒ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,

☐ the text is approved as submitted by the applicant.

☒ the text has been established by this Authority to read as follows:

**BENZO'DIAZEPINE DERIVATIVES FOR THE TREATMENT OF NEUROLOGICAL DISORDERS**

5. With regard to the **abstract**,

☐ the text is approved as submitted by the applicant.

☒ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

☐ as suggested by the applicant.

☐ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

☐ None of the figures.

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/EP 03/14556

**Box III TEXT OF THE ABSTRACT (Continuation of item 5 of the first sheet)**

# INTERNATIONAL SEARCH REPORT

International Application No

/EP 03/14556

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61K31/55 C07D223/16 C07D401/12 C07D403/12 A61P25/00  
 C07D401/14 C07D413/14 C07D417/14 C07D409/14 C07D417/12  
 C07D409/12 C07D413/12 C07D471/04 C07D491/04 C07D403/14

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, BEILSTEIN Data, CHEM ABS Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 00/06254 A (SCHUNACK WALTER G ; SIGURD ELZ (DE); STARK HOLGER (DE); BIOPROJET SOC) 10 February 2000 (2000-02-10) claims 1,16,75	1-28
X	WO 01/87834 A (ASO KAZUYOSHI ; ISHIHARA YUJI (JP); SUZUKI NOBUHIRO (JP); TAKEKAWA SHI) 22 November 2001 (2001-11-22) cited in the application the whole document ----- -/--	1-6, 9-18, 22-28



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

Date of the actual completion of the international search

8 April 2004

Date of mailing of the international search report

29/04/2004

Name and mailing address of the ISA

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# INTERNATIONAL SEARCH REPORT

International Application No  
EP 03/14556

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	<p>EP 1 283 199 A (TAKEDA CHEMICAL INDUSTRIES LTD) 12 February 2003 (2003-02-12)</p> <p>page 26, line 21  page 42, line 37 - line 51  claims 1,18,23,30  paragraph '0111!  paragraph '0128!  paragraph '0133!  paragraph '0234!</p> <p>-----</p>	<p>1-6,  9-18,  22-28</p>

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/EP 03/14556

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
  
Although claim 26 is directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

/EP 03/14556

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 0006254	A	10-02-2000	EP 0978512 A1	09-02-2000
			EP 0982300 A2	01-03-2000
			AU 5511999 A	21-02-2000
			CA 2321881 A1	10-02-2000
			WO 0006254 A2	10-02-2000
			EP 1100503 A2	23-05-2001
			JP 2002521463 T	16-07-2002
WO 0187834	A	22-11-2001	AU 5673301 A	26-11-2001
			CA 2408913 A1	22-11-2001
			EP 1283199 A1	12-02-2003
			WO 0187834 A1	22-11-2001
			JP 2002371059 A	26-12-2002
			US 2003158177 A1	21-08-2003
EP 1283199	A	12-02-2003	AU 5673301 A	26-11-2001
			CA 2408913 A1	22-11-2001
			EP 1283199 A1	12-02-2003
			WO 0187834 A1	22-11-2001
			JP 2002371059 A	26-12-2002
			US 2003158177 A1	21-08-2003